



Attorney Docket No. **PATENT**  
**OMT-015**  
(6105/28)

2131  
#6  
6-27-02  
gm

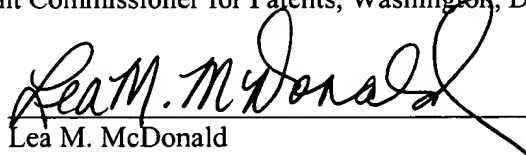
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Bouchard et al.  
SERIAL NO.: 09/970,147 GROUP NO.: 2131  
FILING DATE: October 3, 2001 EXAMINER: Not Yet Assigned  
TITLE: Electronically Verified Digital Signature and Document Delivery  
System and Method

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**MAY 02 2002**  
Technology Center 2100

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 24th day of April, 2002.

  
Lea M. McDonald

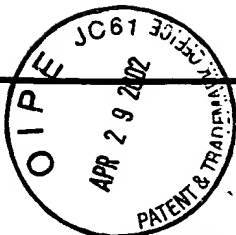
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pgs.);
3. Supplemental Form PTO-1449 (1 pg.);
4. Copies of References Cited A5-A8 & C1-C9; and
5. Return Receipt Postcard.

BEHRAKIS\6105\28.2334859\_1



# TRANSMITTAL FORM

Application Serial Number	09/970,147
Filing Date	October 3, 2001
First Named Inventor	Bouchard et al.
Group Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket No.	OMT-015
Patent No.	Not applicable
Issue Date	Not applicable

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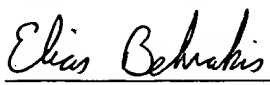
## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Supplemental Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations- A5-A8 & C1-C9	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> Small Entity Statement	
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
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PATENT  
Attorney Docket No. **OMT-015**  
(6105/28)

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SERIAL NO.: 09/970,147 GROUP NO.: 2131  
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TITLE: Electronically Verified Digital Signature and Document Delivery  
System and Method

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

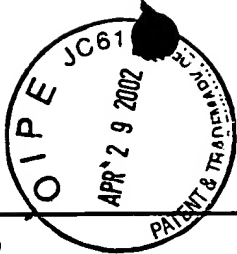
Respectfully submitted,



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Date: April 24, 2002  
Reg. No. 47,416

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Fax No.: (617) 248-7100



SHEET 1 OF 1

<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: OMT-015</b>					
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANT(S): Bouchard et al.</b>					
				<b>SERIAL NO.: 09/970,147</b>					
				<b>FILING DATE: October 3, 2001 GROUP: 2131</b>					
<b>U.S. PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>		
	A5	5,935,246	08/10/99	Benson	713	200	04/11/97		
	A6	6,047,242	04/04/00	Benson	702	35	09/24/97		
	A7	6,301,660 B1	10/09/01	Benson	713	165	03/23/98		
	A8	6,334,118 B1	12/25/01	Benson	705	52	03/23/98		
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY CODE</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>	<b>ABSTRACT ONLY</b>	<b>ENGLISH LANG (Y/N)</b>
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
	C1	"MIT distribution site for PGP" taken from <a href="http://web.mit.edu/network/pgp.html">http://web.mit.edu/network/pgp.html</a> , printed April 9, 2002 pages 1-4							
	C2	"PostX Corporation- Our Solutions" taken from <a href="http://www.postx.com/technology/technology/postxsecurity/oursolutions">http://www.postx.com/technology/technology/postxsecurity/oursolutions</a> , printed on April 9, 2002, pgs. 1-2							
	C3	"Tumbleweed Communication, Secure Messenger (IME)" taken from <a href="http://tumbleweed.com/dy/print/">http://tumbleweed.com/dy/print/</a> , printed on April 9, 2002, pgs. 1-2							
	C4	"Tumbleweed Communications, Secure Policy Gateway" taken from <a href="http://tumbleweed.com/dy/print">http://tumbleweed.com/dy/print</a> , printed on April 9, 2002, pgs. 1-3							
	C5	ZixIt, "Basic Encryption and Delivery Methods: A ZixIt Technology Primer" www.zixit.com, pgs. 1-30							
	C6	ZixIt, "Email Security: The ZixMail Difference" www.zixit.com, pgs. 1-12							
	C7	ZixIt, "ZixVPM- Technical White Paper" www.zixit.com, revised January 2002, pgs. 1-18							
	C8	"ZixMail - Encryption For Your Existing Email System" taken from <a href="http://www.zixit.com/products/products.htm">http://www.zixit.com/products/products.htm</a> , printed on April 9, 2002, pgs. 1-2							
	C9	ISO/IEC 9594-1, "Information technology-- Open Systems Interconnection-- The Directory: Overview of Concepts, models and services" International Organization for Sandardization, Third Edition December 15, 1998, pages 1-20							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

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